

Proterra Asia Sustainability Report 2024

November 2024



Signatory of:



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INTRODUCTION

MANAGING PARTNER'S MESSAGE

Reflecting on our sustainability journey in 2024 fills me with pride. This year has been transformative for our organization, as we have deepened our commitment to sustainable practices across our investments in the food sector.

What has become clear is that sustainability is not just about implementing new technologies or policies. It is about fostering a mindset shift, where sustainable practices become an integral part of business strategy, operations, and value creation. Allocating funds to on-the-ground managers who use them effectively creates a top-down impact that is crucial to our strategy. Doing this across Asia, where many regions still operate below global standards, amplifies that impact even more. This realization has shaped our approach to stakeholder engagement, emphasizing consistent communication and shared vision-building. In the food and agriculture sector, we see many opportunities, often in areas of untapped potential, to achieve significant environmental and social progress. There are too many low-hanging fruits waiting to be harvested. At Proterra Asia, we aim to be a key contributor to improving both the environmental and social outcomes in the region through our investments.

I am particularly proud of our strengthened ESG due diligence process. Aligning with IFC Performance Standards has elevated our approach to responsible investing, ensuring that our financial success goes hand-in-hand with positive environmental and social impacts. Our engagement with BlueMark for impact verification has further solidified our commitment to transparency and continuous improvement.



Furthermore, these initiatives are being actively pursued by both the Proterra team in Asia and our team in the U.S. Together, we are dedicated to advancing our sustainability efforts, and we have established a Sustainability Committee to facilitate knowledge sharing and foster collective learning across regions.

However, the path to sustainability is not without its challenges. Throughout the years, we have learned that sustainability is not always at the forefront of every stakeholder's mind. It has been a journey of patient engagement, persistent dialogue, and collaborative effort to align our partners, portfolio companies, and investors towards a common sustainable goal.

As we move forward, we will continue to challenge ourselves, innovate, and collaborate. The future of food in Asia depends on our unwavering commitment to sustainability, and I believe we can create lasting positive change.

A handwritten signature in black ink, appearing to read 'Tai C. LIN'.

Tai C. LIN

ABOUT PROTERRA ASIA

**\$1.35
Billion
USD**

In Committed Capital
Since the Launch
of Food Strategy

**34 Food
and Agri
Companies**

Invested & Built

Proterra Investment Partners Asia ("Proterra Asia") is uniquely positioned as more than just a financial investor; we are a strategic partner deeply embedded in the food sector. Our approach goes beyond providing capital— with each investment, we offer valuable expertise, foster key partnerships, and deliver actionable insights that are essential for driving growth and overcoming sector-specific challenges.

Our singular focus on the food sector means we not only understand the complexities of the market but are actively involved in shaping its future.

In the markets we operate in, the Asian food sector presents unique challenges— from data transparency and corporate governance to issues of commercial scalability. Addressing these challenges often requires more than financial investment; it demands a partner who understands local market dynamics and can execute effectively. We focus on execution, leveraging our growing network, and building trusting, long-term relationships within the industry.

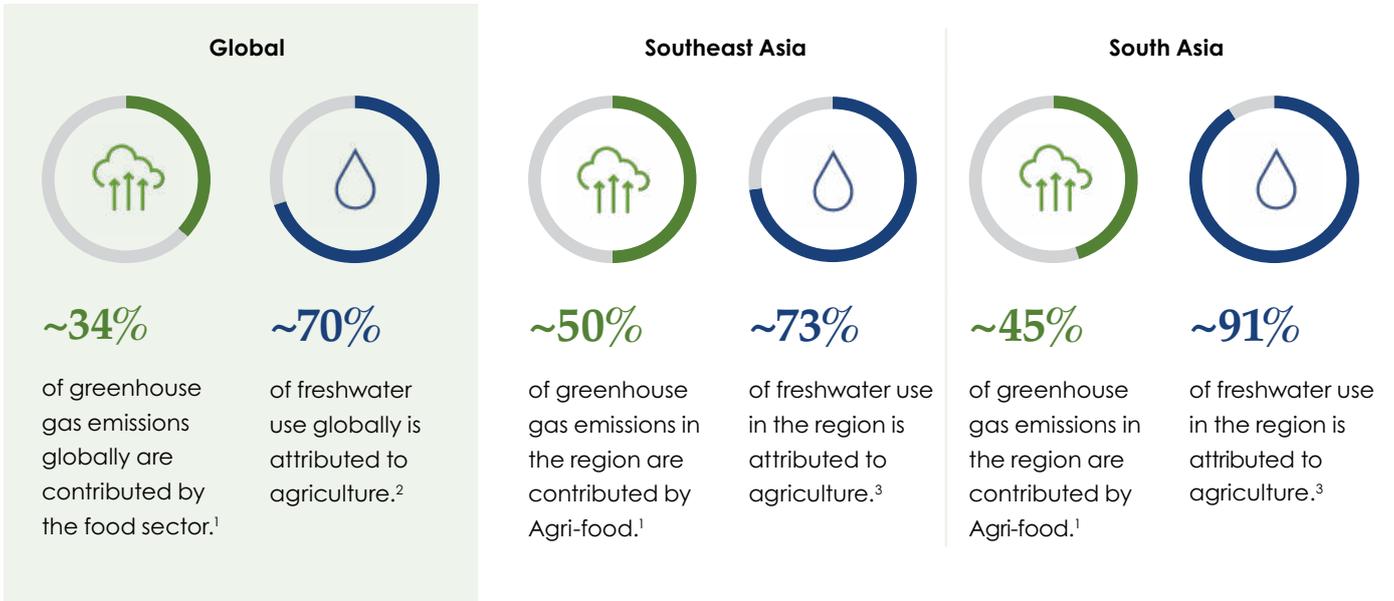




THE IMPACT OF ASIA'S FOOD ON PEOPLE & PLANET

RELATIONSHIP BETWEEN FOOD & CLIMATE CHANGE

Food and climate change are closely linked, especially in Asia, where agricultural practices and food industries form the backbone of many economies. Asia, home to over half of the world's population, faces the dual challenge of ensuring food security while building climate resilience. The region is responsible for a substantial share of global greenhouse gas emissions. Furthermore, as climate change intensifies, Asia's food production systems face increasing vulnerabilities, from unpredictable monsoons to extreme heat and rising sea levels affecting coastal fisheries.



1 Source: PWC/Rabobank/Temasek, The Asia Food Challenge (2023).
 2 Source: FAO, FAOSTAT.
 3 Source: Our World in Data (2024).



THE IMPACT OF ASIA'S FOOD ON PEOPLE & PLANET

HOW INVESTMENT IN FOOD CAN HELP TACKLE CLIMATE CHANGE

Driving sustainability in the food sector presents many opportunities to address climate change. Transforming waste into renewable energy with biofuels, such as used cooking oil, reduces emissions, while better food waste management cuts methane release. Regenerative agriculture practices improve soil health and boost carbon capture and adopting sustainable packaging lowers emissions from production and disposal. These combined actions help create a greener, more resilient food system and significantly lower its environmental impact.

Biofuel



60-90%⁴

in GHG emissions savings compared to the fossil fuel reference.

Maximizing Resource Utilization



8-10%⁵

of GHG emission is caused by Food Loss Waste (FLW).

Sustainable Packaging



90%⁶

of consumers in Asia-Pacific are willing to spend a premium on sustainability products.

Regenerative Agriculture



30%⁷

reduction of GHG emissions would be possible if producers adopt best practices.

⁴ Source: International Renewable Energy Agency, 2016.

⁵ Source: FAO, 2022.

⁶ Source: Bain, 2022.

⁷ Source: ADB, 2023.

CULTIVATING SOCIETIES: THE SOCIAL FABRIC OF FARMING

In Asia, food is much more than just sustenance—it is an integral part of culture, tradition, and daily life. It brings people together, strengthens social bonds, and preserves centuries-old customs. From festive celebrations to family meals, food is a reflection of shared values and communal identity, shaping the rhythm of life across the region. It plays a vital role in cultivating societies, connecting people not just to each other, but to their heritage and environment.



68%

of all people working in the global agriculture economy are employed in Asia.⁸



67%

of the world's small holder farms are located in Asia.⁸



~90%

of the world's supply of rice is produced in Asia.⁸



⁸ Source: PWC/Rabobank/Temasek, The Asia Food Challenge (2023).

THE CHALLENGES WE FACE

The Asia-Pacific region is grappling with a set of pressing challenges that directly affect food security and environmental sustainability. Growing populations, resource constraints, and climate change exacerbate these issues, with significant consequences for both people and ecosystems. Addressing these challenges is essential to ensure the region's future. This provides a backdrop for why strategic investments in food systems are now more critical than ever.

IMPACT ON PLANET *'environment & climate'*



Emissions

Food production globally accounts for 34% of greenhouse gas emission.⁹



Water resources

~70% of freshwater is used for food production globally.¹⁰



Animal welfare

Healthy animals contribute to healthy people and healthy environment.

IMPACT ON PEOPLE *'lives & livelihood'*



Hunger & malnourishment

Nearly 500 million people in Asia are still malnourished.¹¹



Lives & livelihood

Food drives a large share of economic output and employment in Asia.¹²



Disease / viruses

In livestock and in people (e.g., ASF).



Food safety

Almost 1 in 10 people in the world fall ill from eating contaminated food every year.¹³

ECONOMIC ADVANCEMENT *'environment & climate'*



Food wastage

Majority of food waste in Asia is due to fragmented supply chains and lack of cold chain infrastructure.¹⁴



Lack of arable land

Asia has limited arable land per capita and small average land size.

9 Source: PWC/Rabobank/Temasek, The Asia Food Challenge (2023).

10 Source: FAO.

11 Source: Eco-business.

12 Source: Food Industry Asia.

13 Source: World Health Organisation.

14 Source: Temasek.

PROTERRA'S SUSTAINABILITY GOALS



PROTERRA'S ESG JOURNEY STARTED MORE THAN 10 YEARS AGO

In light of these challenges, Protterra has long recognized the need for sustainable solutions, leading to the start of our ESG journey over a decade ago. Our commitment to responsible investing has allowed us to achieve significant milestones in environmental, social, and governance practices. This history of dedication forms the foundation for our approach today. As we move forward, we expand this commitment through a transformative vision for the region's food sector.



2010

Food strategy launched with Food Fund 1.
Incorporated Protterra's Corporate Responsibility Principles for ESG to guide the investment process.

2012

First Annual ESG Report published for LPs of Protterra's Agriculture Strategy.



2015

Launched the first ESG report for Protterra's Food strategy.

2017

Protterra became a signatory to the UN Principles for Responsible Investment.



2021

Incorporated Protterra's Sustainability Charter to define expectations around integration of sustainability and other ESG principles into the investment lifecycle.

2023

Formal ESG training from industry experts.
Refined ESG due diligence to incorporate SDG mapping and value creation and impact measurement recommendations.



2024

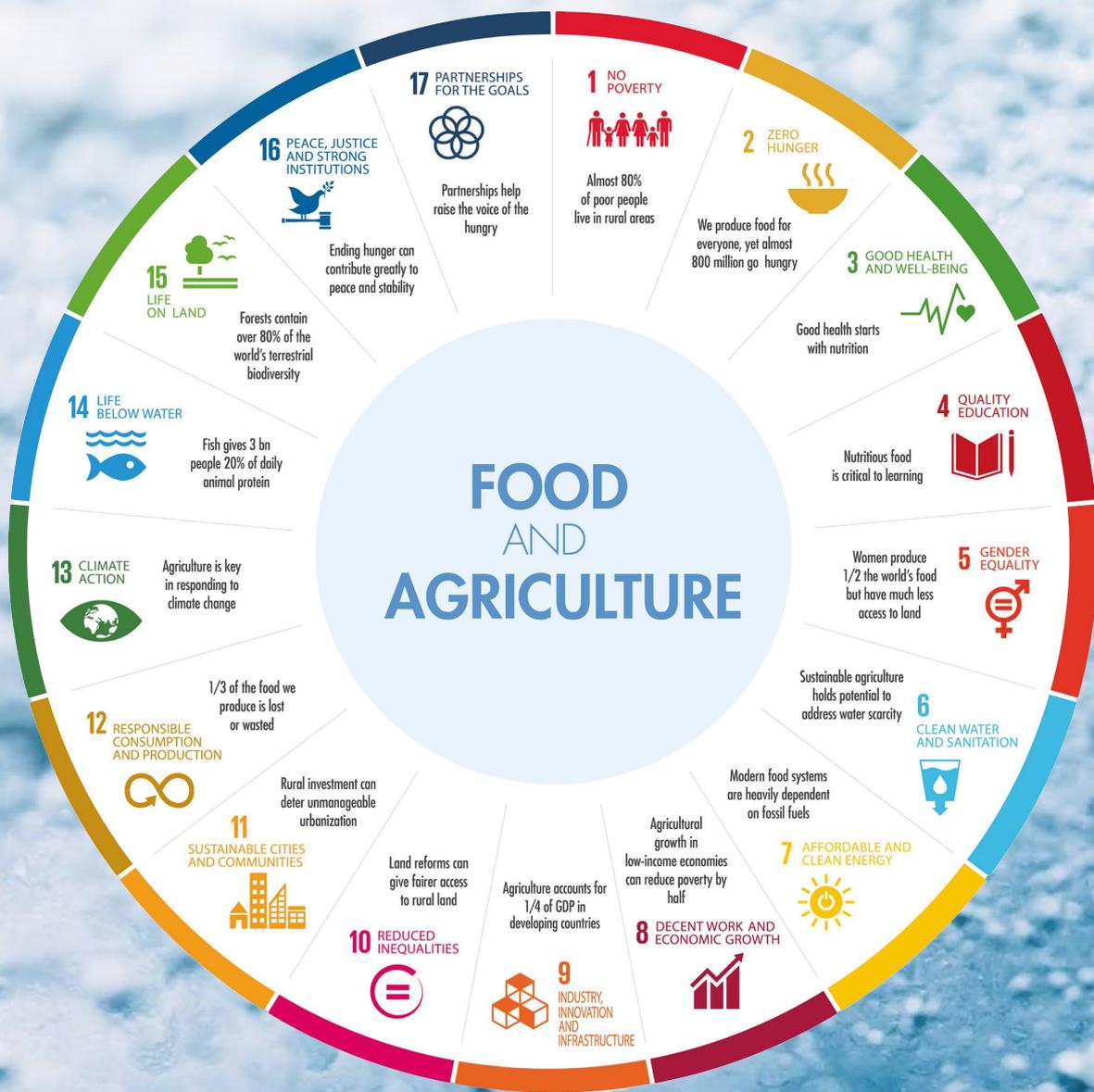
Formation of Protterra's Sustainability Committee.
Adopting IFC Performance Standards in investment due diligence and investment monitoring.
Engaged BlueMark to diagnose our impact system.

FOOD SUSTAINABILITY AND THE UN SUSTAINABLE DEVELOPMENT GOALS

We connect our investment strategy to the broader global agenda.

The chart shows how the food system can contribute to all 17 UN SDGs, emphasizing the interconnectedness of food security, climate action, and social equity.

By aligning with the SDG framework, we ensure our investments deliver far-reaching benefits across multiple sectors and geographies.



Source: Credit Suisse, The Global Food System: Identifying Sustainable Solutions (June 2021) and the UN FAO.

OUR VISION FOR TRANSFORMATIVE INVESTMENT IN THE ASIAN FOOD SECTOR

Building on years of ESG progress, we have outlined a transformative vision that aligns with the United Nations Sustainable Development Goals (SDGs). Our focus includes 12 specific goals, of which 7 are directly related to food security, sustainable agriculture, and responsible consumption. These goals target critical areas of need within the region's food systems.



OUR VISION FOR TRANSFORMATIVE INVESTMENT IN THE ASIAN FOOD SECTOR

Beyond food-specific goals, we apply a comprehensive approach to all our portfolio companies by addressing broader social and environmental objectives. This includes goals such as fair labor practices, gender equality, and water stewardship, all crucial for fostering sustainable growth. These five additional goals ensure we create value across a broader range of priorities beyond our core focus.



PROTERRA'S APPROACH IN CREATING MEANINGFUL IMPACT IN FOOD AND AGRICULTURE

There are several strategic approaches to creating lasting impact in the food and agriculture sectors. From immediate gains through improving efficiency in agriculture to medium-term solutions like technology investments, and long-term changes by reshaping consumer behavior, each approach contributes to the overall vision. These diverse pathways allow us to address both short-term needs and long-term opportunities.



Legacy food and agriculture done better

Immediate impact

Implementing global best practices to achieve operational efficiencies.

Lots of low hanging fruit in Asia due to lack of capital investment.



New technology solutions

Medium term impact

Cutting edge tech needs time to find suitable commercial application at scale.

Requires strategic partnership or integration with asset owners.



Upgrading supply chains

Medium to long term impact

In Asia, many basic nutritional needs remain unmet.

Large geographical areas need additional infrastructure to improve access to nutrition.

Operational excellence for safe, high quality food processing.



Reshaping consumer behaviour

Long term impact

Food is culture — changing consumer behaviour takes time.

Replacing carbon intensive foods with more sustainable alternatives.



THEORY OF CHANGE

To ensure that our investments lead to measurable outcomes, we have developed Proterra's Theory of Change. This roadmap connects the capital we deploy to the activities, outputs, and outcomes that deliver real-world impact. By using this structured approach, we maintain a clear line of sight from investment to results, ensuring long-term success. This framework helps us stay focused on delivering both financial returns and sustainable outcomes.

INPUTS

- Specialized Capital Investment
- Food Industry Expertise
- Extensive Asian Network
- Sustainability Focus

ACTIVITIES

- Strategic Opportunity Identification
- Rigorous Due Diligence
- Targeted Investment
- Hands-on Strategic Support
- Asian-focused Sustainability Initiatives
- Regional Market Expansion

OUTPUTS

- Enhanced Business Capabilities
- Increased Financial Performance
- Sustainable Food Practices
- Innovative Asian Food Products

OUTCOMES

- Regional Economic Growth
- Enhanced Food Security
- Sustainable Asian Food System
- Asian Market Leadership

IMPACT

- Long-Term Asian Economic Development
- Environmental Sustainability in Asia
- Improve Quality of Life
- Food Sector Transformation

CASE STUDIES



LOW WASTE PREMIUM SPIRITS DISTRIBUTION TECHNOLOGY



Time of investment: April 2023 | Status: Active

ecoSPIRITS is revolutionizing spirits distribution with a bold mission to eliminate single-use glass waste and dramatically cut carbon emissions in the industry. By pioneering a closed-loop system that reuses large-format glass containers, ecoSPIRITS tackles one of the beverage sector's biggest environmental challenges head-on. This innovative approach not only slashes waste but also sets a new standard for sustainability in the spirits market.

Environmental & Social Highlights

Remy Cointreau & ecoSPIRITS New Partnership

Four (4) year agreement extends their existing pilot in the UK, with plans to launch in the US too.



CO₂e Reduction



Packaging Waste Eliminated

Carnival Cruise Line & ecoSPIRITS Expand Partnership

After a successful pilot in ecoTOTE format across four Carnival ships.



Ocean Waste Recovered



Packaging Waste Eliminated

Source: Information used are provided by the portfolio company via our annual survey.



PRECISION FARMING COMPANY IN INDIA



Time of investment: August 2018 | Status: Active

Simply Fresh is revolutionizing agriculture as India's largest covered environment farm, harnessing cutting-edge precision Agtech to cultivate fresh, nutritious produce in climate-controlled greenhouses. With a commitment to sustainability, every harvest is fully traceable and free from harmful pesticides and herbicides, ensuring consumers enjoy chemical free. By merging technology with eco-friendly practices, Simply Fresh is setting a new standard for agricultural excellence and transforming the way we think about food production.

Environmental & Social Highlights

Less Water Consumption

Compared to traditional farming.



Less Water Consumption

Labor Upskilling

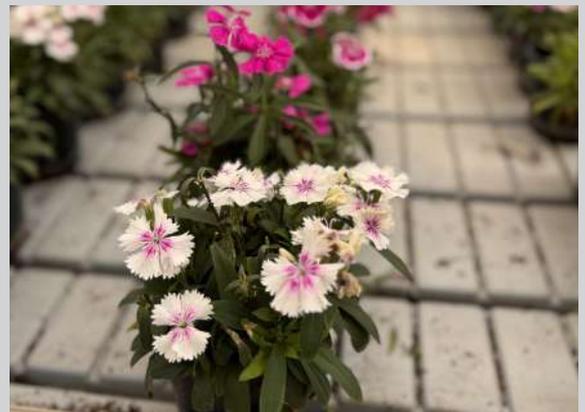
The company empowers neighboring villagers by offering employment opportunities and a robust platform for upskilling.

This commitment not only enhances local livelihoods but also fosters personal and professional growth, creating a thriving community



People Upskilled through Training

Source: Information used are provided by the portfolio company via our annual survey.



USED COOKING OIL TO BIO-DIESEL CONVERSION



Time of investment: November 2022 | Status: Active

Apeiron Bioenergy is making strides in the renewable fuel sector by converting used cooking oil (UCO) and other waste oils into clean bio-diesel. As a leading collector of UCO in Southeast Asia and China, Apeiron is turning what was once considered waste into a valuable resource for large oil and gas companies.

Environmental & Social Highlights

Organized community events to collect UCO all over the region

Engaged >20 community partners such as government entities, NGOs, and schools.



Individuals Reached



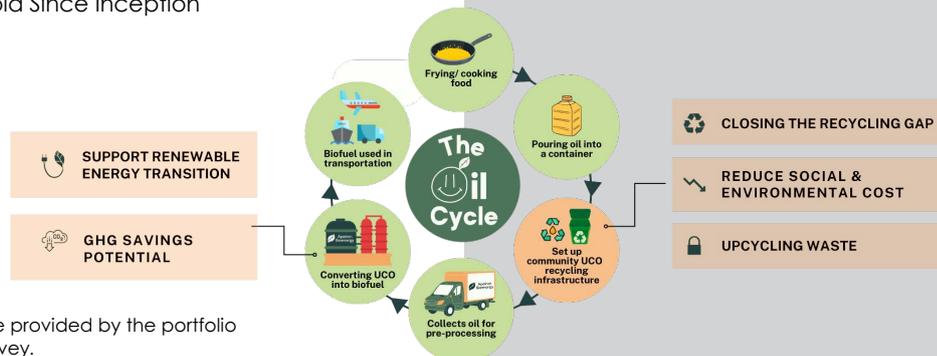
Events Promoting UCO Recycling

Collected UCO From Commercials and Households In Asia

Improper disposal of UCO can have significant environmental consequences, including the contamination of water sources and the release of harmful greenhouse gases.



UCO Sold Since Inception



Source: Information used are provided by the portfolio company via our annual survey.

HEALTH & NUTRITION SUPPLEMENTS IN CHINA



Time of investment: December 2021 | **Status:** Active

Zhong Hong specializes in a wide-ranging portfolio of health & nutritional supplement products. Over the years, the company has evolved from a propolis specialist to a branded nutrition and health foods provider and gained competitiveness in several sub-segments, including propolis, protein powder and herbal health drinks. Zhong Hong's evolution has positioned it as a formidable player in the competitive wellness market, providing consumers with trusted, innovative solutions for their nutritional needs.

Environmental & Social Highlights

Organized Welfare Live Streaming Sessions

Invited experts from renowned organizations to provide nutritional knowledge to individuals.



Live Sessions Conducted



Individuals Reached

Balanced Gender Ratio

Championed equal employment opportunities to female employees.



of employees are female

Source: Information used are provided by the portfolio company via our annual survey.

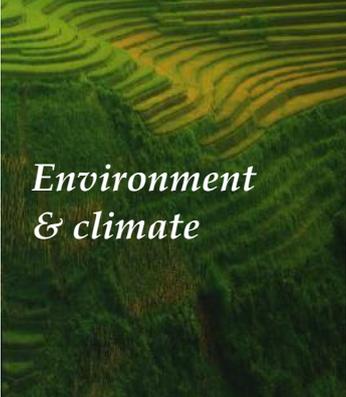


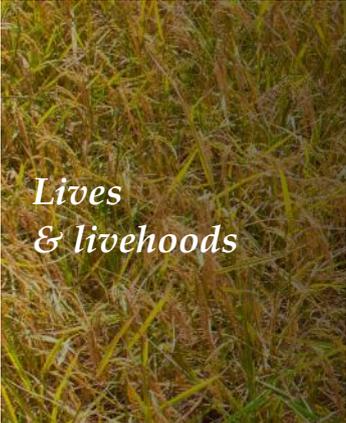


PORTFOLIO HIGHLIGHTS

REFLECTION ON OUR SUSTAINABILITY JOURNEY

Let's look back at the impact we have made over the years.
We are proud of the significant milestones we have achieved together

 <p><i>Environment & climate</i></p>	<p>Carbon Intensity</p> <ul style="list-style-type: none"> • Our companies save >330 million kg CO2e emissions p.a., equal to carbon capture of 386,000 acres of forest p.a. or taking 68.500 US passenger cars off the roads each year. • Achieve industry-leading operating yields by applying global best practices and technology.
	<p>Sustainable Food</p> <ul style="list-style-type: none"> • Proterra portfolio companies create access to sustainable food options for consumers across Asia (e.g. plant-based eggs, oat milk) which are substitutes for carbon-intensive animal proteins.

 <p><i>Lives & livelihoods</i></p>	<p>Community Impact</p> <ul style="list-style-type: none"> • Created 9,400 new jobs at our portfolio companies, primarily in rural areas of Asia. • Sustained positive impact on >100,000 smallholder farmers' livelihoods. • Portfolio companies support community education programs.
	<p>Health & Nutrition</p> <ul style="list-style-type: none"> • Portfolio companies serve >247 million consumers p.a. • Produce protein in highly efficient ways: 7.95 million tons produced since inception. • Intense core focus on food safety & quality, with certifications across portfolio.

 <p><i>Sustained economic development</i></p>	<p>Community Impact</p> <ul style="list-style-type: none"> • Invested >USD 150 million into food supply chain infrastructure (ports, warehouses, logistics) to improve food access in rural regions. • Invested >USD 445 million into value-added food processing infrastructure.
	<p>Health & Nutrition</p> <ul style="list-style-type: none"> • Bring international know-how to Asia which elevates farming practices (e.g., precision agtech for pesticide-free controlled-environment farming). • Share best practices with other industry participants through consulting services.

Source: Proterra engaged a third-party ESG consultant to estimate this carbon impact based on information provided by portfolio company management teams. Based on conversion rates calculated using the United States Environmental Protection Agency's Greenhouse Gas Equivalencies Calculator.
Note: Figures are as of June 2024.



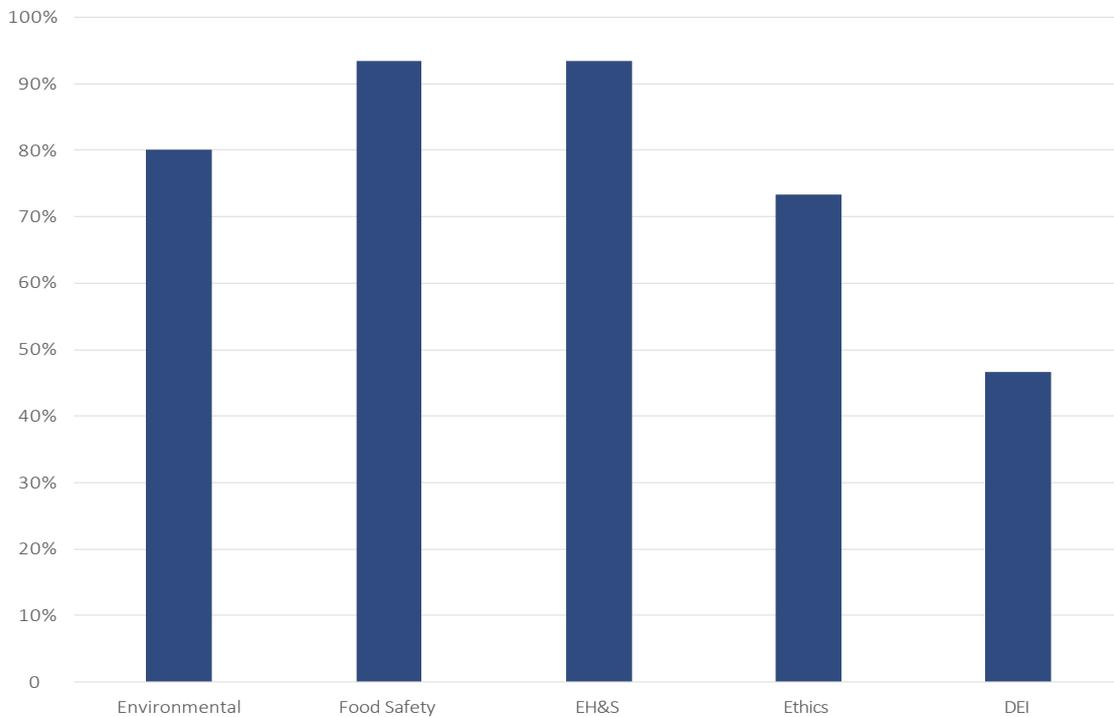
“What gets measured gets managed”

PORTFOLIO HIGHLIGHTS

ESG RELATED POLICIES

We have encouraged our portfolio companies to adopt comprehensive ESG frameworks, set clear objectives, and report on measurable impacts. Most Food portfolio companies now have ESG policies, including Codes of Conduct or CSR policies, that combine principles with procedures. Those with procedural policies are working to incorporate values that will drive more positive outcomes, reflecting our commitment to responsible business practices.

ESG Related Policies in Place¹⁵



¹⁵ Source: Based on information reported by 15 portfolio companies included in our data collection effort..



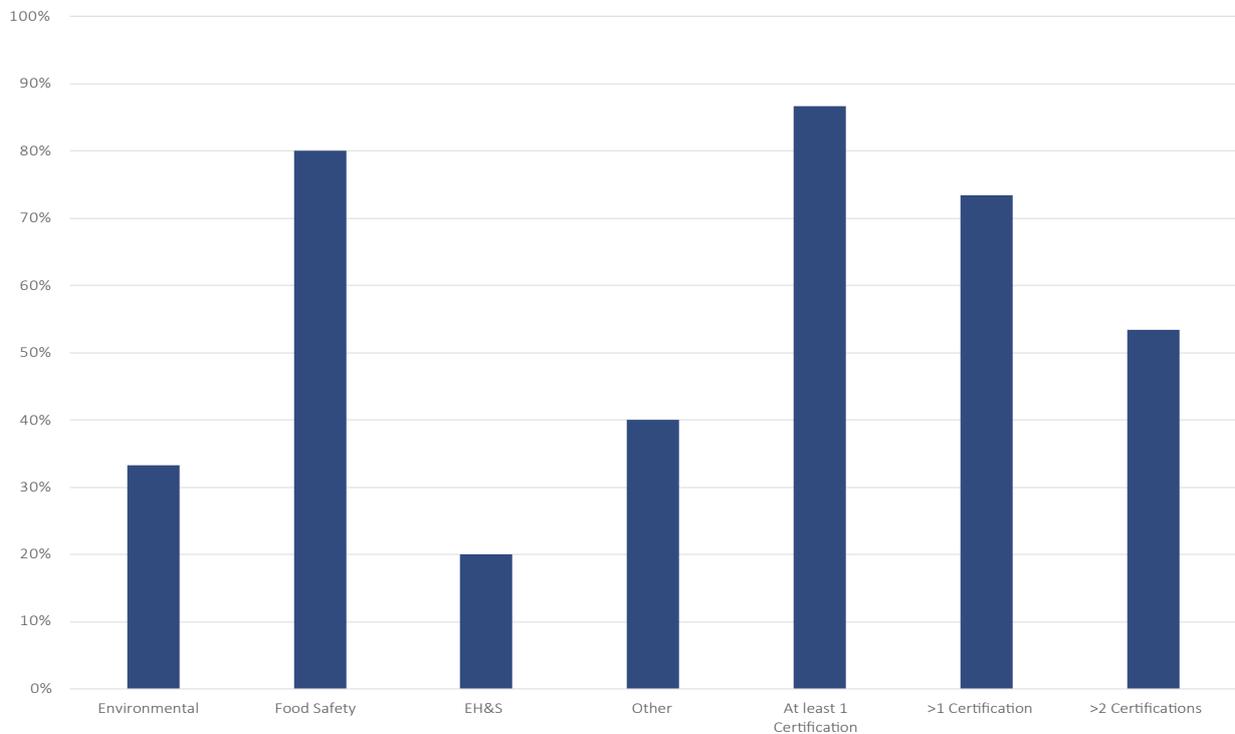
Of the 3 companies without a Food Safety certification, 1 relies on OEM manufacturing that carries its own certifications, 1 assists its customers to attain certifications when using its product, and 1 is in the business of collecting food waste for bio-fuel production.

PORTFOLIO HIGHLIGHTS

ESG RELATED POLICIES

In 2021, we introduced a Sustainability Charter outlining our investment teams' responsibilities for sustainability across the investment lifecycle. Key elements include aligning with portfolio management on ESG remediation, enhancing post-investment ESG governance via the board, and ensuring ESG documentation is available during exits. We have also added climate risk and impact tracking to our investment memos.

ESG Related Certifications¹⁶



16 Based on information reported by 15 portfolio companies included in our data collection effort.



Over the past six years, we have monitored Lost Time Incident Frequency and Total Recordable (“LTIFR”) Incident Rates across companies where available.

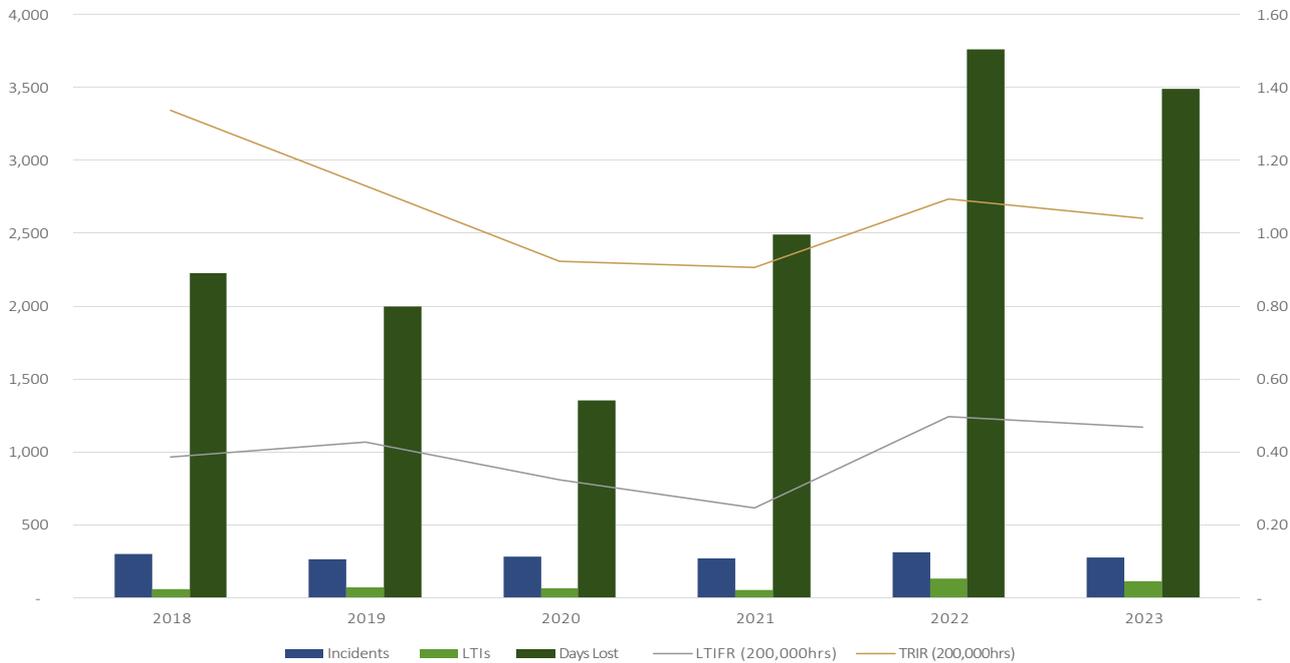
In 2023 we requested reporting from 15 companies: 11 companies reported their data, 1 recently listed and no longer reports this data publicly, 2 outsource production, and 1 recent investment is building its safety reporting program.

PORTFOLIO HIGHLIGHTS

SOCIAL

Employee safety is a key ESG priority, ensuring employees are protected through strong EH&S (Employee Health & Safety) programs that reduce incidents and injury risks. We are actively encouraging companies to track and report safety incidents and include safety scorecards in board reports.

Safety Index



The TRIR metric used here includes all recorded incidents resulting in injuries, including those only requiring first aid. Whereas under OSHA, TRIR only includes incidents resulting in one or more of the following:- Death- Days away form work- Restricted work or transfer to another job- Medical treatment beyond first aid- Loss of consciousness- Significant injury or illness diagnosed by a physician or other licensed health care professional US average TRIR is 3.1 (<https://www.kpa.io/blog/what-is-your-trir-calculate-yours-and-use-it-to-improve-your-business>).



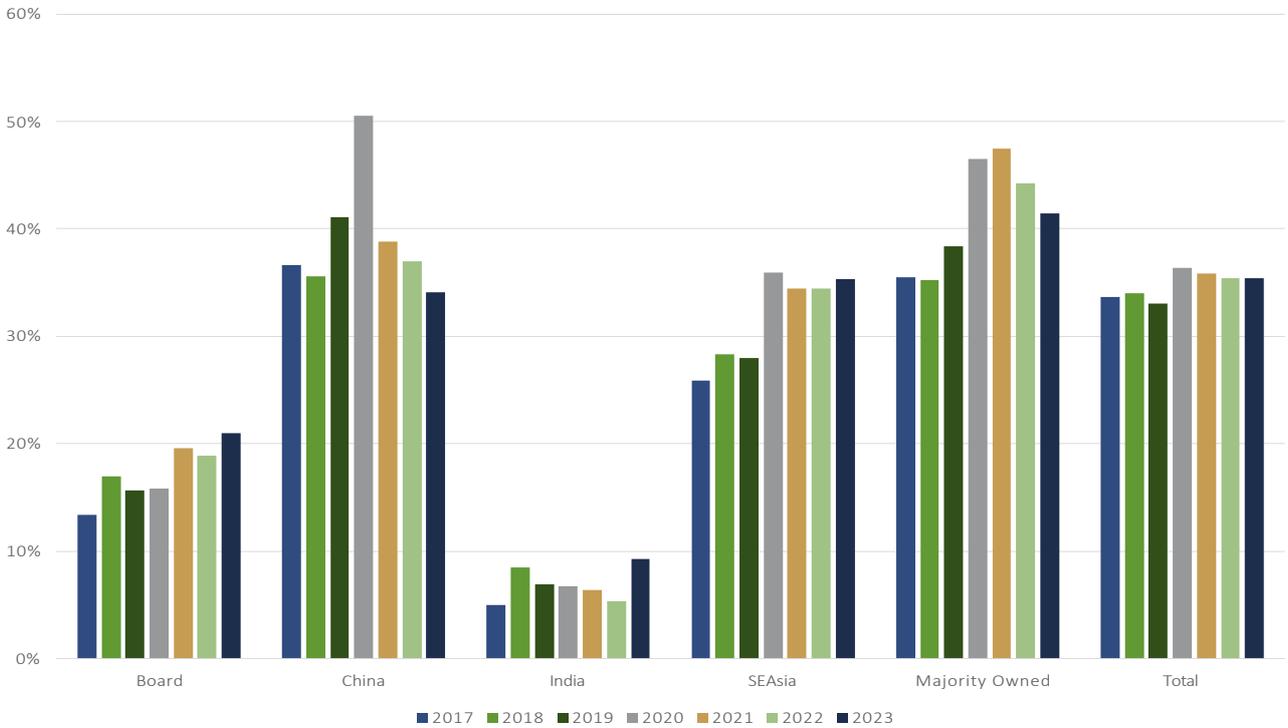
Consideration:
 There is an opportunity to further encourage our portfolio companies to integrate comprehensive DEI policies into their operations

PORTFOLIO HIGHLIGHTS

SOCIAL

Diversity continues to get a lot of attention in the ESG community, as it should. The following graph depicts the 7-year history of diversity at the board and company level, with the latter broken out by region. A large dairy farming company was added in 2021.

Gender Diversity: % Female



9 of the 15 companies polled conduct employee engagement surveys. Average response rate was above 80%. Data excludes investments that are in the process of exit or liquidation or where data was not collected for 2023 (Citrus, FCL, Golden Maple, NJF, and Seamild).

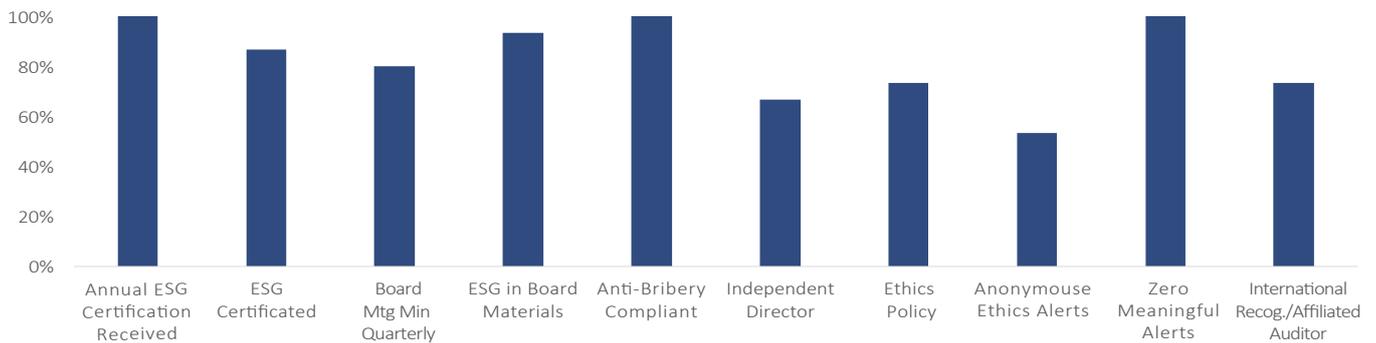
CORPORATE GOVERNANCE

We polled 15 of our portfolio companies on governance aspects that we consider best practice.¹⁷ The results are shown below:

All completed the Annual ESG Certification process.

- 13 companies have an Industry or Customer based ESG certification in place. 2 companies are working towards certification(s) and use OEM manufacturing.
- 12 companies convene board meetings at least quarterly. Two do so annually and one semi-annually.
- 14 companies include ESG as a standing agenda item. 1 other is in the midst of implementing.
- All companies are FCPA or Anti-Bribery Act compliant. One FKS subsidiary is ISO 37001 (anti-bribery) certified.
- 10 companies have an independent director on the board.
- 11 companies have a formal ethics policy in place.
- 8 companies have an ethics hotline or other anonymous means in place to alert the company of any potential ethical violations. 3 companies use email. Zero meaningful alerts of potential ethical violations were received in the last 2 years.
- 11 companies use an internationally recognized or affiliated auditor.

Governance



¹⁷ Based on information reported by 15 portfolio companies included in our data collection effort.

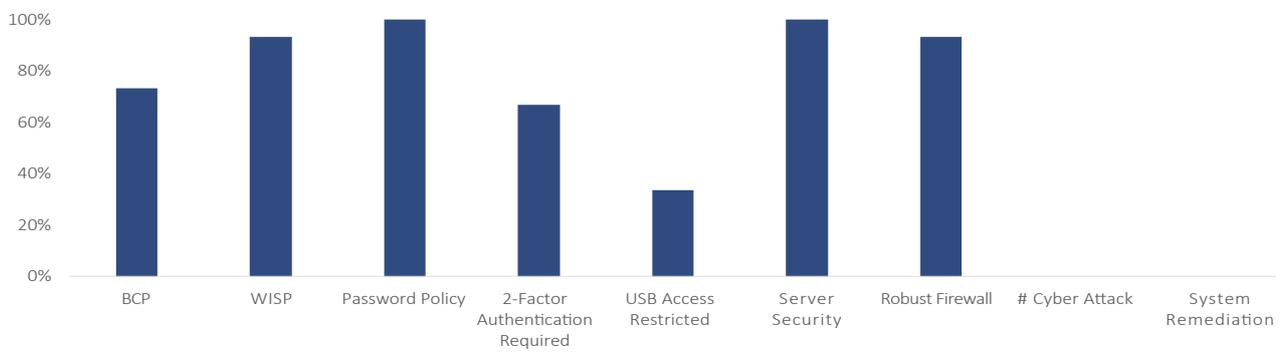


CORPORATE GOVERNANCE

IT and Cybersecurity in particular, also gets a lot of attention in the ESG community. The following graph illustrates the level of adoption of IT best practices across the 15 active portfolio companies we polled:¹⁸

- Most companies have a BCP plan in place.
- Most companies have a Written Information Security Plan (WISP).
- All companies have a Password Policy in place, that either requires periodic changes to strong passwords, or requires multi-factor authentication (MFA).
- Of those companies not using MFA, one is exploring its implementation
- None experienced a cyber attack that prevented the company from conducting its business.
- No system remediation needed.

IT/Cybersecurity



18 Based on information reported by 15 portfolio companies included in our data collection effort.





LEGAL DISCLAIMER

These materials have been prepared for the limited partners' annual meeting for discussion purposes only. These materials do not constitute an offer to sell or a solicitation of an offer to buy any securities. These materials may include forward-looking statements. Forward-looking statements are necessarily based upon estimates and assumptions that are inherently subject to significant business, economic and competitive uncertainties and contingencies, many of which are beyond the control of such parties (and much more so of Proterra) and many of which, with respect to future business decisions, are subject to change. These uncertainties and contingencies can materially affect actual results and could cause actual results to differ materially from those expressed in any forward-looking statements made herein.

The information contained in this report is not intended to provide a complete representation of all environmental, social, governance, health or safety issues or matters (collectively, "ESG matters") known by Proterra about the portfolio companies in which its funds invest. Disclosures of material ESG matters known to Proterra are reported to fund limited partners on a quarterly basis in the individual "tear sheet" for the applicable portfolio company.

Certain of the information was reported to Proterra from the individual portfolio companies and as such may contain misstatements or inaccuracies. Accepting receipt of these materials constitutes the recipient's agreement to treat these materials as highly confidential and not to duplicate or furnish copies of these materials or any information contained herein to any person other than the recipient's professional advisors.



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